## 11/26 Lecture outline

- \* Reading: Luke chapter 10. Tong chapter 5
- Recall, the Dirac equation  $(i\gamma^{\mu}\partial_{\mu}-m)\psi=0$ , and we considered plane wave solutions

$$\psi = u^s(p)e^{-ipx}, \qquad \psi = v^r(p)e^{ipx},$$

and found that these satisfy the Dirac equation provided that  $p^2 = m^2$  (good!) and

$$(\gamma^{\mu}p_{\mu} - m)u^{s}(p) = 0, \qquad (\gamma_{\mu}p^{\mu} + m)v^{r}(p) = 0.$$

The important properties are that these form a complete, orthogonal basis, with

$$\bar{u}^r(p)u^s(p) = -\bar{v}^r(p)v^s(p) = 2m\delta^{rs}, \qquad \bar{u}^rv^s = \bar{v}^ru^s = 0.$$

$$\sum_{r=1}^{2} u^{r}(p)\bar{u}^{r}(p) = \gamma^{\mu}p_{\mu} + m, \qquad \sum_{r=1}^{2} v^{r}(p)\bar{v}^{r}(p) = \gamma^{\mu}p_{\mu} - m.$$

• The general solution of the classical EOM is a superposition of these plane waves:

$$\psi(x) = \sum_{r=1}^{2} \int \frac{d^{3}p}{(2\pi)^{3} \sqrt{2E_{p}}} \left( b^{r}(p)u^{r}(p)e^{-ipx} + c^{r\dagger}(p)v^{r}(p)e^{ipx} \right)$$

The theory is quantized by using  $\Pi_{\psi}^0 = \partial \mathcal{L}/\partial(\partial_0 \psi) = i\psi^{\dagger}$  and imposing

$$\{\psi(t, \vec{x}), \Pi(t, \vec{y})\} = i\delta(\vec{x} - \vec{y}),$$
 i.e.  $\{\psi(t, \vec{x}), \psi^{\dagger}(t, \vec{y})\} = \delta^{3}(\vec{x} - \vec{y}).$ 

If we quantize with a commutator rather than anticommutator, get a Hamiltonian that is unbounded below, with c creating antiparticles with negative energy. Shows that spin  $\frac{1}{2}$  must have fermionic statistics, to avoid unitarity problems. This is a special case of the general spin-statistics theorem: unitarity requires integer spin fields to be quantized as bosons (commutators) and half-integer spin to be quantized according to Fermi-Dirac statistics (anti-commutators). Leads to the Pauli exclusion principle.

So the coefficients in the plane wave expansion get quantized to be annihilation and creation operators as

$$\{b^r(p), b^{s\dagger}(p')\} = \delta^{rs}(2\pi)^3 \delta^3(\vec{p} - \vec{p}'), \qquad \{c^r(p), c^{s\dagger}(p')\} = \delta^{rs}(2\pi)^3 \delta^3(\vec{p} - \vec{p}'),$$

with all other anticommutators vanishing.

• Hamiltonian of the Dirac equation, with fermionic statistics,  $\mathcal{H}=\pi_{\psi}\dot{\psi}-\mathcal{L}=\bar{\psi}(-i\partial_{j}\gamma^{j}+m)\psi$ , and then  $H=\int d^{3}x\mathcal{H}$  gives

: 
$$H := \int \frac{d^3p}{(2\pi)^3} E_p(b^{r\dagger}(p)b^r(p) + c^{r\dagger}(p)c^r(p)),$$

good,  $b^{r\dagger}(p)$  creates a spin 1/2 particle of positive energy, and  $c^{r\dagger}(p)$  creates a spin 1/2 particle of positive energy. The second term was re-ordered according to normal ordering – the terms originally work out to have the opposite order and the opposite sign. Fermionic statistics gives the sign above, upon normal ordering, but Bose statistics would have given the  $c^{r\dagger}c^r$  term with a minus sign, leading to H that is unbounded below. This shows that we need the Fermionic statistics for spin 1/2 fields to get a healthy theory.

• Do perturbation theory as before, but account for Fermi statistics, e.g.  $T(\psi(x_1)\psi(x_2)) = -T(\psi(x_2)\psi(x_1))$  and likewise for normal ordered products. Anytime Fermionic variables are exchanged, pick up a minus sign (and sometimes the additional term if the anti-commutator is non-zero). Consider in particular the propagator

$$\{\psi(x), \bar{\psi}(y)\} = (i\partial_x + m)(D(x-y) - D(y-x)).$$

and the contraction

$$\langle 0|T(\psi(x)\bar{\psi}(y))|0\rangle = \int \frac{d^4p}{(2\pi)^4} \frac{i(p+m)}{p^2 - m^2 + i\epsilon} e^{-ip(x-y)}.$$

Vanishes for spacelike separated points. The momentum space fermion propagator is

$$\frac{i}{\not p - m + i\epsilon}.$$

Let's call the particle states nucleons and anti-nucleons (we could also call them electrons and positrons etc):

$$|N(p,r)\rangle = b(p)^{r\dagger}|0\rangle \qquad |\bar{N}(p,r)\rangle = c^{r\dagger}(p)|0\rangle.$$

Then

$$\langle 0|\psi(x)|N(p,r)\rangle = e^{-ipx}u^r(p), \qquad \langle N(p,r)|\bar{\psi}(x)|0\rangle = e^{ipx}\bar{u}^r(p).$$

Incoming fermions get a factor of  $u^r(p)$ , outgoing fermions get  $\bar{u}^r(p)$ ; incoming antifermions gets  $\bar{v}^r(p)$ , and outgoing antifermions get  $v^r(p)$ .

Write the amplitude by following the arrows backwards, from the head to the tail.

• Next time: compute amplitudes in new and improved toy model of mesons and nucleons.